



PATENT
Docket No. 20063/10004

**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Applicant: Young Pil KIM

Serial No.: 10/627,059

Filed: July 25, 2003

For: "Methods for Making a MOS
Transistor"

Group Art Unit: unknown

Examiner: unknown

) I hereby certify that this paper is
) being deposited with the United
) States Postal Service with
) sufficient postage as first class
) mail in an envelope addressed to:
) Commissioner for Patents, P.O.
) Box 1450, Alexandria, VA 22313-
) 1450 on this date:

) **October 17, 2003**

) 
) Mark C. Zimmerman

) Registration No. 44,006

) Attorney for Applicant(s)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The patents and/or publications listed on the enclosed PTO Form-1449 are
submitted pursuant to 37 CFR §§ 1.56, 1.97, and 1.98. Copies of the patents or
publications are enclosed.

TIME OF FILING

This information disclosure statement is being filed:

☒ 1. with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or, to the best of the undersigned's knowledge, before the mailing date of a first Office action on the merits, whichever event occurs last. In accordance with 37 CFR §1.97(b), no certification or fee is required.

☐ 2. after the time period specified in paragraph 1 above, but, to the best of the undersigned's knowledge, before the mailing date of a final action under 37 CFR §1.113 or notice of allowance under 37 CFR §1.311. Therefore, in accordance with 37 CFR §1.97(c), submitted herewith is:

(check either A or B below)

A. ☐ a certification as specified in 37 CFR §1.97(e).

B. ☐ the fee set forth in 37 CFR §1.17(p) for submission of an information disclosure statement under 37 CFR §1.97(c).

☐ 3. after the mailing date of either a final action under 37 CFR §1.113 or a notice of allowance under 37 CFR §1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant submits herewith:

A. ☐ a certification as specified in 37 CFR §1.97(e);

B. ☐ the petition fee set forth in 37 CFR §1.17(i); and

C. ☐ the accompanying petition under 37 CFR §1.97(d).

METHOD OF PAYMENT

- ☒ No fee is required.
- ☐ Attached is a check in the amount of \$


The Commissioner is authorized to charge any fee deficiency required by this paper, or credit any overpayment, to Deposit Account No. 50-2455. A copy of this paper is enclosed.

Correspondence Address:

Respectfully submitted,

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By:


Mark C. Zimmerman
Registration No.: 44,006

October 17, 2003

Form PTO 449 (Modified)

U.S. Department of Commerce
Patent and Trademark Office

Atty. Docket No.

20063/10004

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Applicant

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Group Art Unit

Unknown

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

*EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,323,094	11-27-01	Wu	438	303	
	6,221,746	04-24-01	Huang et al.	438	592	
	6,214,656	04-10-01	Liaw	438	199	
	6,153,520	11-28-00	Chen	438	682	
	6,008,099	12-28-99	Sultan et al.	438	305	
	5,920,774	07-06-99	Wu	438	224	
	5,792,699	08-11-98	Tsui	438	290	
	5,726,477	05-10-98	Williams et al.	257	402	

FOREIGN PATENT DOCUMENTS

*Examiner Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No
	1019990066930	12-30-99	Korea			abstract only	
	1020000019690	04-14-00	Korea			abstract only	
	1020000048326	08-21-00	Korea			abstract only	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.